

UNITED STATES DISTRICT COURT  
DISTRICT OF NORTH DAKOTA  
SOUTHEASTERN DIVISION

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United States of America,	)	
	)	
Plaintiff,	)	
	)	
vs.	)	FILE NO. 3:09-cr-155-01
	)	3:09-cr-155-02
Ferris Lavelle Lee, a/k/a Vito,	)	3:09-cr-155-04
Marcus Jermaine Royston,	)	3:09-cr-155-08
a/k/a BD, Maurice M. Forest,	)	
Jessica M. Dietz,	)	
	)	
Defendants.	)	

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T R A N S C R I P T

O F

P R O C E E D I N G S

JURY TRIAL - VOLUME X - MAY 25, 2010

Pages 1829-1908

TAKEN AT: QUENTIN BURDICK UNITED STATES COURTHOUSE  
655 FIRST AVENUE NORTH  
FARGO, NORTH DAKOTA 58102

BEFORE: THE HONORABLE RALPH R. ERICKSON

COURT REPORTER: KELLY A. KROKE

**A P P E A R A N C E S**

**MR. CHRISTOPHER C. MYERS** **COUNSEL FOR PLAINTIFF;**  
**MS. JULIE LAWYER**

Office of US Attorney  
655 1st Avenue North, Ste. 250  
Fargo, ND 58102

**MR. JOHN T. GOFF** **COUNSEL FOR DEFENDANT LEE;**

Attorney at Law  
4650 38th Avenue South, Ste. 110  
Fargo, ND 58106

**MR. STEVEN D. MOTTINGER** **COUNSEL FOR DEFENDANT ROYSTON;**

Attorney at Law  
15 9th Street South  
Fargo, ND 58102

**MR. JASON T. LOOS** **COUNSEL FOR DEFENDANT FOREST;**

Attorney at Law  
505 North Broadway, Ste. 208  
Fargo, ND 58102

**MS. CAREY A. GOETZ** **COUNSEL FOR DEFENDANT DIETZ;**

Attorney at Law  
316 North 5th Street  
Bismarck, ND 58503

1                   P R O C E E D I N G S

2                   (May 25, 2010: The following proceedings  
3 commenced at 8:45 a.m.: In open court, all counsel and  
4 the Defendants present, outside the presence and hearing  
5 of the jury:)

6                   THE COURT: We'll go on the record in a case  
7 entitled United States of America versus Ferris Lavelle  
8 Lee, also known as Vito; Marcus Jermaine Royston, also  
9 known as BD; Maurice Forest; and Jessica Marie Dietz.  
10 It's File No. 3:09-CR-155. The record should reflect  
11 that Mr. Lee appears personally along with his counsel,  
12 Mr. Goff. Mr. Royston appears with his counsel,  
13 Mr. Mottinger. Mr. Forest appears with his counsel,  
14 Mr. Loos. Ms. Dietz appears with her counsel,  
15 Ms. Goetz. Mr. Myers appears on behalf -- and  
16 Ms. Lawyer appear on behalf of the United States.

17                   When we last broke I had taken under  
18 advisement Mr. Forest's motion for a directed verdict of  
19 acquittal under Rule 29 as to Count Ten. I've had a  
20 chance to review the -- a preliminary transcript, and  
21 essentially Galen Smith, as relates to Count Ten,  
22 testified that I think it was a guy they called D, but I  
23 wasn't really sure. And then he followed that up by  
24 stating that he didn't really get a good look, and that  
25 is really the only reference as far as Count Ten goes.

1           Count Eleven, the identification is much  
2 more positive and -- but, in looking at his testimony,  
3 it does appear that while he was able to identify who D  
4 is and how he came to know him, he is not able to  
5 identify on Count Ten to a degree sufficient to  
6 establish proof beyond a reasonable doubt that D, who he  
7 identified as Maurice Forest, was actually present on  
8 that occasion. And so the motion for a directed verdict  
9 on Count Ten -- or judgment of acquittal under Rule 29  
10 on Count Ten, as relates to Mr. Forest, is granted.

11           All right. Mr. Royston, there's something  
12 you want to tell me?

13           DEFENDANT ROYSTON: Yes, it is, Your Honor.  
14 There's a few other issues that I think the Court should  
15 be aware of that was not brought up, but I'll take the  
16 time right now to bring it up.

17           I'm also glad that you did mention Galen  
18 Smith because he's a part of what I have to argue. It  
19 has come to my attention that Mr. Myers and his staff,  
20 along with some of those officers that had took the  
21 stand, they willingly and knowingly committed perjury on  
22 the stand, and I will explain why. In the buys that was  
23 alleged on May 28th, 2009, Officer Stuvland, in his  
24 recording, he states not once but twice -- somewhere  
25 back he states that the date was the 24th of May. In

1 the CashWise buy he states that the date was the 20th of  
2 May, which leaves us not knowing what the actual date  
3 was, and all the officers testified to it being the  
4 28th.

5 Furthermore, Galen Smith, who is also known  
6 as Smitty, he testified that those buys didn't even  
7 occur on the same day. He testified that the buys  
8 happened at least a week apart, which gives further  
9 evidence to the fact that the government is alleging  
10 these buys took place on the same day and they didn't.

11 Also this mysterious officer who supposedly  
12 and allegedly pulled Maurice Forest over after the buy,  
13 they say that there was no proof about this unlawful  
14 traffic stop that allegedly occurred. And there was no  
15 proof of a citation. There was no proof of any type of  
16 written report. And they didn't even bring the man to  
17 court to testify that he pulled Maurice Forest over.  
18 And this statement that the officer made was not in our  
19 discovery, which brings me to the fact that there was --  
20 there was proof and there could have been proof  
21 presented had this been brought up into our discovery  
22 and the lawyer would have had time to probably do a  
23 little research on this alleged pullover because what I  
24 understand when the officer's pulled you over and he  
25 activates those lights on the car, the camera in that

1 front dashboard in the police car, it automatically  
2 activates, which would have shown that that day it was  
3 proof that Maurice Forest either got pulled over or he  
4 didn't. And had that been mentioned in our discovery  
5 about this mysterious officer who alleged this traffic  
6 stop, that evidence could have been brought forth that  
7 he actually did get pulled over and that's actually how  
8 he got identified as being the individual who actually  
9 did those transactions that day.

10 So based upon -- and this is -- this is the  
11 evidence that the government has presented which is in  
12 that little box right there. It's evidence in these  
13 recordings and with this testimony that shows that these  
14 different dates that Officer Stuvland recorded hisself  
15 saying. The evidence is there. And I ask that the  
16 Court review this evidence and take into consideration  
17 of the fact that, you know, that these allegations are  
18 false.

19 And there was -- the government hasn't  
20 proven anything, the 500 grams, the 50 grams. If you  
21 look at the 50 grams of crack, it hasn't been proven.  
22 They weighed this crack up with other substances so that  
23 doesn't even give us an accurate weight. So that's a  
24 fatal variance right there into the Indictment. Not  
25 only that, but they don't even have a seed, a marijuana

1 seed. So I'm not even understanding where this  
2 marijuana's coming from.

3 Every -- each and every witness that was  
4 placed on the stand that the government put up here,  
5 each witness sit up here and told two different stories  
6 that wasn't even accurate to what they say to the  
7 police -- in the police's initial investigation and the  
8 statements that they initially made to the police. They  
9 changed their stories. Every witness did. And every  
10 witness was on the stand and said that they didn't say  
11 what they said in the initial report. And what was said  
12 and what was stated in those initial reports was what  
13 ultimately led to us being indicted in the first place.  
14 So if it wasn't accurate there was no reason for us to  
15 even be indicted on such a case that the government  
16 alleged was this big massive organization that had so  
17 many amounts of -- X amount of dollars, X amount of  
18 dollars. I mean, it doesn't -- none of it makes any  
19 sense, Your Honor. And I don't think that there's even  
20 enough evidence to even -- I mean, with all due respect,  
21 there's not even enough evidence to even turn this over  
22 to the jury's hands. There's too many unexplained  
23 questions, and all we can do is sit here and wonder to  
24 ourselves, like, what happened?

25 The buy at Sunmart where Herb Brown alleges

1 one thing happens but gets on the stand and then  
2 testifies to another, he's the only one who was actually  
3 able to put anybody on the scene. And he tells two  
4 different stories so we're left not knowing what to  
5 believe. So we don't know if the transaction -- we  
6 don't know when the transaction happened.

7           Stuvland clearly put that out there. We  
8 don't even know when the transaction happened. We don't  
9 know what happened, and we don't even know who was  
10 there. There's insufficient evidence to establish all  
11 this, all the government's claims, there's insufficient  
12 evidence, and I can't see why -- I mean, I just don't  
13 see it. I mean, maybe I'm missing something, but I  
14 don't see where the government has even provided any  
15 type of doubt. Their witnesses got up there and said  
16 that what he is claiming is not what they said, and if  
17 I'm missing anything, you know, I mean (no further  
18 response).

19           DEFENDANT FOREST: Yes, I got something to  
20 say, too, Your Honor. I wrote you a letter that I was  
21 supposed to send to your office, but it didn't get  
22 there. I didn't send it off. But it's a letter that I  
23 wrote to you for the fact is that this Adrienne American  
24 Horse, when she allegedly got on the stand, when she was  
25 told to identify me she pointed and said a different



1 color shirt than I had on. If you wouldn't have  
2 corrected her she would have been pointing to  
3 Mr. Royston as me. She pointed out the shirt that he  
4 had on. She didn't say the color that I had on. But  
5 you stopped her, before she could finish, to correct  
6 her. And I believe that's something that need to be  
7 addressed, too. I told my lawyer to do it, but  
8 evidently he didn't so -- and then like he was saying  
9 about this -- these allegedly drug buys, now this  
10 Zachary Jones, he's supposed to be a co-conspirator but  
11 he's not charged in this case. He didn't accept no type  
12 of agreement for jail time or nothing. He made a  
13 statement saying that he didn't have nothing to do with  
14 this. The drugs he got caught with was his own. So why  
15 has we been charged with something that he was involved  
16 with?

17 And then like you said, Mr. Smitty, how  
18 everybody else know me as Big Man supposedly, and this  
19 guy all of a sudden say I'm somebody named D. Who is D?  
20 You know, and then he stated that he never -- he don't  
21 know if that was me in the car, which you granted me  
22 that. I appreciate that.

23 And then, you know, like you said, this  
24 Stuvland officer, he said different dates, which I  
25 believe that these officers was trying to trigger a

1 mandatory minimum of these drug buys that happened  
2 different days. And they tried to point it to you when  
3 they gave it to the prosecutor to point out and make  
4 these drug buys the same days so they wouldn't see that  
5 they did trigger a mandatory minimum for entrapment,  
6 which is -- there's various case laws under that that  
7 should happen, you know. And I believe that this case  
8 shouldn't even been brought to your attention or to no  
9 other federal judge, but the prosecutor did this to make  
10 these drug buys on the same day, which they didn't occur  
11 on the same day as you can see the witnesses stated  
12 this.

13               Smitty say that this drug buy's supposed to  
14 be February 6th. Didn't happen on the same date. And  
15 then Stuvland briefly in his opening -- when he doing  
16 the drug buys for Herbert Brown stated different days  
17 each -- each buy that that happened on. So I believe  
18 that this -- it's a lot of stuff that, like he say,  
19 shouldn't be brought to the jury attention. This case  
20 shouldn't even be going to the jury. I feel that it is  
21 something that you should decide, and you should look at  
22 all these variances and decide this on this case.

23               THE COURT: Thank you.

24               DEFENDANT LEE: I just want to add, too, you  
25 know, yesterday Brad Stuvland got up there and he said

1 he got some reliable information about Lionel Fraction  
2 from Jake Northern. Jake Northern, from the first time  
3 he testified and to now, yesterday got up there and told  
4 you that he never told Brad Stuvland that Lionel had  
5 nothing to do with this. Now he committed perjury up  
6 there and said that Jake Northern told him that Lionel  
7 had something to do with this. Well, if Lionel is the  
8 source, and I work for Lionel supposedly, why Lionel is  
9 not charged? So evidently he didn't believe what  
10 whoever, Jake Northern or Tara Bauer, whichever one of  
11 them said this about Lionel. He didn't believe it  
12 enough to charge it. And that's crazy because he  
13 believed what Jake Northern said about Jessica though.  
14 You see what I'm saying?

15           They believe in what they want to believe,  
16 Your Honor, and it don't make no sense. This whole  
17 thing, where's the drugs? Where's the money? Where's  
18 this big-time crime large drug scale organization? I  
19 ain't heard a controlled buy over -- I can't even say  
20 2.5 because all that ain't even drugs. You just heard  
21 the lab report got up there and specifically told these  
22 people, without this cut this drug could be weighed way  
23 lesser.

24           And all these controlled buys that they  
25 trying to charge me with, Your Honor, I don't have

1 nothing to do with that. I'm not nowhere around from  
2 Two, Three, Four, Six, Seven. I'm just being charged  
3 because of what? Because somebody said that I supplied  
4 some drugs? Where's the evidence for that? I don't see  
5 no evidence for none of that. I don't even see why we  
6 even charged. He charging us with 50 grams. If you  
7 total up the drugs that you let get into evidence is  
8 nowhere near 50 grams, nowhere near 50 grams.

9           Then you got -- he played a battle with  
10 Zachary Jones because Cavelle Smallwood got up there and  
11 told these people that Zachary Jones told him he got  
12 some drugs from me. This man said I was out on 2006.  
13 I'm incarcerated in 2006, Your Honor. I know you  
14 probably seen it and looked at it. I'm in jail, in  
15 prison, so how am I -- how is this guy that met me in  
16 2006, how do we know this guy's even telling the truth?  
17 Like he said, Zachary Jones said he didn't have nothing  
18 to do with this. Rashad Jackson said he don't know what  
19 Zachary Jones was doing. He said he -- what Rashad said  
20 was that he messed around for a month and a half or so.  
21 When they finally got popped, that was March. So how  
22 does that put me with -- have to do with anything -- of  
23 anything of his drugs? That 11.73 grams should not be  
24 admitted into evidence. That's hearsay from who?  
25 Somebody we don't even know.

1 All these people getting up here saying one  
2 thing in the initial debrief, which I want to point out  
3 that numerous -- the government witness has got up there  
4 and said that Stuvland wrote down what he wanted to. I  
5 didn't say this or, you know, so how do we know that  
6 this Brad Stuvland is just not putting what he wanted on  
7 paper? Herb Brown said it, Jake Northern, Jennifer  
8 Jordan. All these people saying they did not say this.  
9 So this man just basically wrote what he wanted to.

10 And then it goes back to the controlled  
11 buys. He's stating on the tape 5/20. Then he state  
12 5/24. I just got a flight attendant to show that I was  
13 nowhere around here on May 24th, nowhere around here.  
14 Yeah, the 28th I was nowhere around here, Your Honor.  
15 How could I be in Atlanta, which is I don't know how  
16 many miles away from here, but I supposed to be on a  
17 controlled buy.

18 I mean, Your Honor, to tell you the truth, I  
19 don't even see why this case went this far because, you  
20 know, I'm not the smartest man in the world but I done  
21 seen cases and, like I said, this case, I mean, if I'm  
22 supposed to be such a big drug dealer, where is all  
23 these exes that I was supposed to have? Where is all --  
24 why did they never -- they said that supposedly all  
25 these drugs was kept at Shaina house, and why not once

1 did they ever come raid the residence? Ain't none of  
2 this making no sense. They don't got a dollar, Your  
3 Honor, a dollar, a dollar, Your Honor.

4 DEFENDANT ROYSTON: Basically what it all  
5 boils down to is this whole case is hearsay. And if the  
6 government is allowed to present a case just based off  
7 accusations that they obtained from various individuals  
8 and say: Ah, well, such and such told us this, that's  
9 just like me saying that my lawyer committed a murder  
10 but they have no body and no missing person report.  
11 Then I get to court and he's in court fighting a murder  
12 charge, and then I get to court and I testify and say:  
13 Oh, I'm sorry, they got it wrong in the statement. It  
14 was three bodies instead of just the one. You see what  
15 I'm saying? It's all hearsay.

16 And if the government is allowed to sit up  
17 here and present a case just based off what an  
18 individual who was facing a mandatory life sentence and  
19 trying to get out of his whole whatever he was faced  
20 with and just bring up accusations against other  
21 individuals, if they're allowed to do that then I don't  
22 see why our argument shouldn't be taken into  
23 consideration when I got more proof to prove that the  
24 government was allowing these officers to go up on this  
25 stand and commit perjury because it's on the recordings.

1 It's there. The dates is there. He said it.

2 I mean, like he tried to argue away: Oh, it  
3 wasn't the 20th that I said. It was the 28th. I just  
4 said it fast. Okay. I give you that. But then so what  
5 about the 24th then? You also said that. You didn't  
6 make that mistake once. You made it twice. And that  
7 because it wasn't a mistake. They trying to couple all  
8 these buys that they saying happened on one day, and  
9 it's not adding up, Your Honor.

10 Like Smitty said, Galen Smith, this is their  
11 reliable confidential informant. He said it hisself.  
12 These buys didn't happen the same day. These was at  
13 least a week apart. So if the government is allowed to  
14 just bring accusations forth, then our argument should  
15 be able to be taken into consideration as well because I  
16 can show more proof that they lying than they got to  
17 show that I was ever involved in anything.

18 They don't have any witnesses -- they don't  
19 have any witnesses placing me anywhere, anywhere.  
20 Everybody's saying: Oh, well, he was rarely around.  
21 Then they got the nerve to ask people if I had a job,  
22 Your Honor. I don't even live in Fargo. I stay in  
23 Minneapolis. These people say they rarely saw me. How  
24 do you know if I got a job or not? I don't even  
25 understand why that question was even asked.

1           The accusations -- I mean, the whole case is  
2       hearsay, Your Honor. The whole case is hearsay. We  
3       don't know what happened on the 28th or even anything  
4       ever happened on the 28th. We don't know what took  
5       place in that automobile because, for one, Herb has  
6       already been proven to lie under oath, and then for two,  
7       he gave two different accounts of what happened on that  
8       day. He gave two different stories. So what are we to  
9       believe? How can we even believe that any of these  
10      things even took place?

11           DEFENDANT LEE: And another thing that I  
12      want to add is, okay, they say Tara Bauer provided them  
13      with information about this case. But Tara Bauer, when  
14      she got up there and testified, Your Honor, she said  
15      everything she basically know is hearsay. Now check the  
16      court transcripts if I'm right or wrong, but I believe I  
17      heard that she said everything she basically know is  
18      hearsay. So if everything she basically know was  
19      hearsay, how can we build a case?

20           DEFENDANT FOREST: I got something to add,  
21      too, Your Honor, about these witnesses lying. These  
22      American Horse sisters, Clarissa and Adrienne, they  
23      stated in their statements that I sold them drugs in  
24      April and May. I have a ticket getting pulled over by  
25      the Wisconsin State Patrol on Highway 94 when I was



1 going to Chicago on March the 20th, which is the day of  
2 my mother's birthday. I told my lawyer about this  
3 ticket. He have a copy of that. It should have been in  
4 evidence. But this clearly state that these witnesses  
5 are lying saying that I was in North Dakota in April or  
6 May in this truck. I got pulled over in her truck that  
7 I supposedly stole, and these people ran my name and let  
8 me go. If I was in a stolen truck how am I -- why was I  
9 not charged for a stolen truck? But I got pulled over  
10 by the Wisconsin patrol on my way to Chicago in March,  
11 but these people stated that I was selling them drugs in  
12 April and May. I wasn't nowhere in North Dakota in  
13 April or May.

14 THE COURT: All right. Mr. Myers, is there  
15 anything you want to say in response to any of that?

16 MR. MYERS: Judge, I don't.

17 THE COURT: Mr. Goff, is there anything that  
18 you want to bring before the Court at this time?

19 MR. GOFF: Yes, Your Honor. Thank you. As  
20 I indicated for the Court yesterday at sidebar, and I  
21 raised it at that point because I had concluded the  
22 testimony of Jake North -- or Northern, which I guess  
23 was my case in chief in recalling him, and I didn't want  
24 to rest without bringing to the Court's attention that  
25 my client, Mr. Lee, has advised me really as of Sunday

1 in the -- when I visited him two days ago, that he felt  
2 that he had alibi evidence possibly for the July 24,  
3 2009 alleged controlled buy, and potentially the May 28,  
4 2009 two controlled buys. We had not discussed the  
5 possibility of an alibi defense before this, before  
6 during trial.

7 In fairness though in speaking with his  
8 mother some weeks ago, we didn't talk about an alibi  
9 defense. But she did tell me at one point that he was  
10 in Atlanta here and there and Chicago here and there,  
11 and he traveled primarily to Atlanta from time to time.  
12 In any event, as I told the Court yesterday, his family  
13 was doing some investigation as we spoke yesterday, and  
14 the Court granted us until this morning to come up with  
15 something.

16 What I have been provided this morning, Your  
17 Honor, purports to be a travel history on United  
18 Airlines. The problem that I see from my client's  
19 standpoint is that nowhere on this two-page document  
20 does it include his name, but it's a pass. It's a  
21 travel pass history for an individual and it says logged  
22 in as Carlton E. Sallis, S-a-l-l-i-s, who I am  
23 informed -- without doing any independent investigation,  
24 I'm informed is an employee of United Airlines and an  
25 acquaintance of my client such that Mr. Sallis from time

1 to time would purchase airline tickets in his own name I  
2 guess or at least as his log-in ID, and provide travel  
3 passes or tickets to my client. The individual  
4 transactions, and there are quite a number of them, are  
5 identified as either employee or companion, and then  
6 there's some that say eligible.

7 In any event there is an entry on May 24th,  
8 2009, which indicates that the origination location is  
9 Chicago, CHI, and the destination is Atlanta, ATL, and  
10 the relation category, which is what I've identified as  
11 employee, companion or eligible, and that particular  
12 flight says companion, which I am informed, again  
13 without independent investigation, is what my client  
14 would be identified as when Mr. Sallis would obtain a  
15 ticket for him. And of course the obvious purpose of  
16 this is the reduced fees that employees of airlines get,  
17 and there's a fee on that particular travel of \$49.90.  
18 There was -- that takes care of this document that I was  
19 provided this morning, Your Honor.

20 There was an indication that my client had a  
21 reservation at an Extended Stay hotel in the Atlanta  
22 area in May of 2009. That information was brought to my  
23 attention yesterday. I did make a personal phone call  
24 to the motel, Extended Stay hotel, motel, in the Atlanta  
25 area. There's a name of the city, and I have it but it

1 escapes me right at the moment, but it's in the Atlanta  
2 area. And I identified myself and the reason I was  
3 calling, and the person I spoke to said they would have  
4 to check with somebody and get back to me, and I've  
5 never received a return phone call. That was right at  
6 the close of court yesterday. That information was  
7 initially given to me by my client's parents. His  
8 mother actually gave me that particular information.  
9 That's what I know about May 28th at this point, Your  
10 Honor.

11 My client indicates to me that he's also  
12 attempting to obtain travel information in February, and  
13 I believe there's a couple of buys alleged on  
14 February 6th. He's identifying Frontier Airlines as the  
15 possible commercial carrier that he's checking or trying  
16 to check with, although I don't think they're -- I think  
17 their business status is questionable at this point.

18 As I said yesterday, Your Honor, I didn't  
19 bring it to the Court's attention because I didn't know  
20 there was any issue. I'm not sure at this point I can  
21 even -- I would even represent to the Court that I have  
22 alibi evidence, as I've identified the specifics of the  
23 May potential travel issue at this point.

24 What further complicates it, Your Honor, is  
25 even if the Court were to allow me to present anything

1     like that without notice to the government, without them  
2     having an opportunity to investigate all the issues that  
3     go along with that, without myself having a thorough  
4     opportunity to investigate this, my client has already  
5     exercised his right to remain silent and not testify.  
6     I don't have Mr. Sallis. I've never spoken with  
7     Mr. Sallis. I have no idea who that person is other  
8     than his name is on this document and I've been told  
9     that he buys tickets for my client. I don't have that  
10    person available. I don't even know the details of what  
11    he would say.

12                 But that's the information I've been  
13    presented with, Your Honor, and the time frame I've been  
14    presented this information in. Again from what I see  
15    there may be some questions that could be raised, but I  
16    don't see that I have absolute alibi evidence at this  
17    point; although, my client indicates to me that he did  
18    travel on May 24, 2009 from Chicago to Atlanta and  
19    stayed there beyond the date in question.

20                 He apparently wants to address the Court,  
21    Your Honor.

22                 THE COURT: He may.

23                 DEFENDANT LEE: About these plane tickets,  
24    Your Honor, well, you know, on YouTube you could clearly  
25    see that my reason for flying to Atlanta was because I

1 was doing music stuff with my cousin. So that's why I  
2 had to fly back and forth because I had to perform and  
3 then when I wasn't performing I'd fly back home. But at  
4 the same time I flew out of here February -- I think  
5 February -- February 5th, the night of February 5th,  
6 from Frontier Airlines. And I've been trying to obtain  
7 that -- that information, but Frontier Airline has went  
8 out of business because it was only a small like  
9 commercial airline in Fargo.

10 And another thing I want to let you know  
11 that when I supply my lawyer information about the  
12 individuals that would have came and testified about  
13 Jake Northern showing the discovery to everybody and the  
14 female that he questioned him about, she was willing to  
15 come. I don't know why my lawyer didn't subpoena her to  
16 come, but it's numerous people out here that seen this  
17 discovery, and he didn't show them. And my sister and  
18 my father, they have knowledge to this, too, you know.  
19 And we just want to let you be known to put out there  
20 that where was these lawyers when this man was sitting  
21 up here with his phone recording these discovery? Is  
22 this something that they just let happen?

23 So how do we know? Like Jennifer Jordan  
24 said, when she came out the interview room Jake Northern  
25 was questioning her. What is he questioning her about?

1 I mean, it's just a whole bunch of speculation. It's  
2 inconsistent, Your Honor. And I don't see how this case  
3 even is going forward the way it is, Your Honor, because  
4 it's a whole bunch of inconsistencies. It's a whole  
5 bunch of lies. Everybody's story is not corroborating.  
6 The main person that put this story together got up on  
7 the stand and admitted that everything they know is  
8 hearsay. And they say I work for Lionel Fraction and he  
9 is my source. So why isn't he charged in this case?  
10 That's my whole point.

11 MR. GOFF: Your Honor, if I might?

12 THE COURT: You might.

13 MR. GOFF: I might just add a couple things  
14 my client just said about my representation of him. He  
15 gave me the name of Kelly Poss, who I confront -- and a  
16 telephone number that I confronted Mr. Northern with  
17 yesterday. He gave me that a couple of days ago. It  
18 might have been in the jail on Sunday or it could have  
19 been yesterday. I'm not sure. No, it was definitely  
20 before yesterday because I did call her on Sunday night.  
21 I called her on Sunday night. I spoke with her. And  
22 she's the one that gave me the information about 51  
23 video entries on Mr. Northern's cell phone. I didn't  
24 make that up. That's where I got that information was  
25 from Kelly Poss.

1                   When I confronted Mr. Northern with the fact  
2                   that he had recorded this and shown it to her, he  
3                   admitted it. I mean, I don't know what else I could  
4                   have done with Kelly Poss. He acknowledged and admitted  
5                   that he had recorded these things. Didn't acknowledge  
6                   the number, but that's really insignificant I think  
7                   anyway. So I just wanted to clarify that, acknowledge  
8                   that that happened. I did speak with Kelly Poss, and  
9                   that's where I got some of that information that I asked  
10                  and confronted him about.

11                  Now one additional item that hasn't come up  
12                  today. His cousin, Patrick Lee, who lives in Sioux  
13                  Falls, South Dakota, I think I confronted Mr. Northern  
14                  with that yesterday. Well, that name anyway. My client  
15                  has talked about calling Mr. Lee, and he was one of the  
16                  two people I think in the very status conference we had  
17                  a week or so before this trial that I indicated there  
18                  were two people that I was talking to. One of them was  
19                  Lionel Fraction and the other one was Patrick Lee. I  
20                  did speak with both of those people.

21                  The issue with Mr. Lee is that he would  
22                  purportedly say that he -- to his knowledge there was no  
23                  meeting in the summer of 2008 at Shaina Sjostrand's  
24                  apartment, which there has been some testimony that  
25                  there was a meeting there when my client and



1 Mr. Northern said they were going to start delivering  
2 drugs. When I talked with Mr. Lee about that, he could  
3 not be specific as to when this happened. He couldn't  
4 possibly have been at Miss Sjostrand's apartment the  
5 entire summer. The only time frame we have is the  
6 summer of 2008.

7           When my client brought that up during the  
8 trial -- because there had been some testimony about  
9 that. I think it might have been Jake Northern or Herb  
10 Brown apparently. Either way, whoever it was, I  
11 informed him that in my opinion that was so  
12 insignificant in the whole scheme of things that calling  
13 one person to say that there was no meeting over the  
14 period of a number of months when he couldn't possibly  
15 reasonably know that would just draw more attention to  
16 that than had already been given. But in any event  
17 that's the reason. That was the discussion. I don't  
18 have Mr. Lee here because he can't tell us when.

19           THE COURT: All right.

20           DEFENDANT ROYSTON: I just got one more  
21 thing, Your Honor.

22           THE COURT: Yes.

23           DEFENDANT ROYSTON: I know it's getting  
24 tiresome. There's also like a number of different  
25 things, too, because on the 28th I was -- I mean, I

1     could have had proof because I did ask my lawyer, and I  
2     ain't trying to beat my lawyer up or badger him or  
3     nothing. I think I done enough of that. But there  
4     was -- I did give him information that he should have  
5     got in contact with my probation officer in Minneapolis  
6     for information that was stating that I was traveling.  
7     She gave me a travel pass to go down to Chicago, me and  
8     my baby momma, Jessica, for my brother's wedding. And  
9     all this was around the time of the 28th when these buys  
10    allegedly occurred. I'm not sure why this  
11    information -- why my lawyer hadn't obtained this  
12    information to present to the Court.

13                 And also Jake Northern in his statement --  
14    and this is something I did really want pointed out  
15    because in his statement he stated to the police that he  
16    met me in Bismarck at Jessica's house when him and  
17    Ferris first went down there around the summer of 2008,  
18    which that was impossible because I was in custody of  
19    the La Crosse County, Wisconsin. I was on house arrest.  
20    I was in their custody from March till October, and then  
21    from October to December I was incarcerated. So I'm not  
22    seeing how it was even possible for me to even be  
23    anywhere in North Dakota. And this is an issue that I  
24    wanted raised while Jake Northern was on the stand. But  
25    it's in his statement and he stated this to the police.

1                   And once again this whole Indictment was  
2 brought down because of the statements that was made by  
3 the individuals who they questioned. And that was  
4 impossible for me to ever been anywhere in the vicinity  
5 if I'm on house arrest. And even then he also stated in  
6 his statement that I was on the north side of  
7 Minneapolis somewhere during the summer and I was  
8 selling drugs in and out of the house. I stay in  
9 Brooklyn Center, Minnesota in an apartment building on  
10 house arrest. How I'm on the north side of Minneapolis  
11 selling drugs out of a house?

12                   See, these are issues that should have got  
13 brought forth when Jake Northern was on the stand.  
14 These are issues that I really did want to bring forth.  
15 But once again it wasn't, you know, and -- but it's all  
16 right here, man. I mean, the statements, the audio  
17 recordings that Mr. Stuvland did, all the statements  
18 made by all the witnesses on the stand, I mean, it's all  
19 right there, Your Honor. And if the government is  
20 allowed to just bring up a whole case and have me facing  
21 a mandatory life sentence based off accusations that  
22 they just heard from other people and that be taken into  
23 consideration, which have us right here now in trial,  
24 which have us sitting here since -- I was locked up  
25 January 15th, indicted December 10th. So if that

1 information was enough to even get us in this courtroom  
2 today, I don't see how the information we're providing  
3 right now is not enough to oppose what it is that the  
4 government is alleging when I got witnesses that can sit  
5 up there and tell you that -- in this courtroom right  
6 now today that can sit up here and say that I was in  
7 Chicago for my brother's wedding on the 28th. So  
8 they're allowed to bring up accusations based off no  
9 factual proof. I mean, my arguments right now today in  
10 this courtroom should hold the same weight.

11 MR. GOFF: Your Honor, I don't mean to  
12 belabor this. There was one more thing in light of the  
13 potential for some of these things coming up later.  
14 Just with regard to Mr. Lionel Fraction, as I indicated  
15 I did speak with him at some length on the telephone.  
16 And I went and visited my client at the jail and we  
17 talked about that. And because of some information that  
18 Mr. Fraction would present -- and it had nothing to do  
19 with crack or marijuana or anything. It had to do with  
20 a relationship of my client and Jake Northern. Because  
21 of that information and what he would testify to, he  
22 said, about the relationship, my client decided it was  
23 not a good idea and did not want to have Mr. Fraction  
24 come.

25 DEFENDANT FOREST: Your Honor, can I say

1 something? My last time, too. I just want to address,  
2 you know, we not taking nothing from our lawyers. We  
3 feel that they've done a good job, you know. We  
4 all human. They might forget things, make mistakes, you  
5 know. They're not fighting for their lives. That's why  
6 we're here, to correct things that they miss or to let  
7 them know things that they missed that should have  
8 brought forth. We feel that they done a good job, you  
9 know. But, like I say, it's not them that's fighting  
10 for their lives right now. It's us. So we feel that  
11 these accusations should be heard that we have brought  
12 forth. So we not trying to get no mistrial or blaming  
13 our lawyers for nothing. We just feel that these  
14 accusations need to be heard that was not presented.  
15 That's all.

16 THE COURT: All right. Let's kind of all  
17 step back and let's take a look at what we've heard.  
18 Now the great bulk of what you folks have told me are  
19 proper argument and can be raised in the closing  
20 arguments and should be addressed. I mean, the  
21 confusion on the dates, the ever-changing testimony of  
22 certain witnesses, you know, that's all fair game. The  
23 evidence is out there. It needs to be argued. The  
24 problem is that really what we're talking about on those  
25 issues is weight and credibility of the witnesses, which

1 is not ordinarily the judge's job to decide.

2           The second thing is this whole question  
3 about, well, the case is built on hearsay. The problem  
4 that you're running into in this case is that this case  
5 is what's called a historical conspiracy, and it looks  
6 back in time and it's usually recreated through the  
7 testimony of witnesses. In this circuit historical  
8 conspiracies are fairly commonly prosecuted. They're  
9 fairly commonly prosecuted all over the country. The  
10 issues about who said what, when, where, those issues  
11 are always very important in a historical conspiracy  
12 case, and there's a lot of argument. And the problem in  
13 this case is not very uncommon, and that is you get to  
14 the point where one of the arguments is -- you say I  
15 had, you know, X amount of drugs, and all you've got is  
16 X minus 80 percent sitting in a box, and that's what you  
17 really have.

18           And ultimately you've got witnesses whose  
19 stories don't match up entirely. The quantities that  
20 they testify to vary from witness to witness. That kind  
21 of evidence is really frankly very common in these types  
22 of conspiracy prosecutions because nobody gets out their  
23 scale and weighs every single quantity of narcotics that  
24 they see. It just doesn't happen that way.

25           Now defense lawyers routinely argue that,

1     you know, there's a lot of incentives for these  
2     witnesses to take the stand and triple and quadruple and  
3     double the amount of drugs they see, and those arguments  
4     are made. And why are they made? Well, you say: Look,  
5     these people are looking at long prison sentences.  
6     They're willing to say anything. But once again that's  
7     about the weight and the credibility, and that's  
8     ultimately a jury question, okay? And so that's --  
9     that's something that the Court doesn't usually get to  
10    decide the case on.

11                 Now there are a number of things that folks  
12    said here today that you want me to take it into  
13    consideration. Well, I can't. It's not the evidence.  
14    It hasn't been presented here in court, and it needs to  
15    be presented, okay, if it's going to be considered.

16                 Now if you look at what you've been saying  
17    now, there's a bunch of it that's just saying: Look,  
18    they've been inconsistent, they've said this, they've  
19    said that, they keep changing their stories, it's all  
20    hearsay. All that's already in the record, okay? But  
21    there are some specific details that you're talking  
22    about that just frankly aren't in the record. I mean,  
23    you know, there is no evidence at this point that says  
24    that Mr. Royston was on house arrest during this period  
25    in time and could not have been in North Dakota, and

1 nobody's presented any records that say here's the  
2 electronic monitor records which show that Mr. Royston  
3 was sitting in Brooklyn Center this whole time, all  
4 right?

5 And part of the issue there is -- and  
6 there's always -- there's always an issue of how do you  
7 get that evidence in? What issue is it particularly  
8 relevant to?

9 And let's be perfectly frank. The dates in  
10 this case are all messed up, and so nobody's really able  
11 to identify exactly when anything happened with the  
12 exception of some of the alleged controlled buys and --  
13 you know, and so you've got to make tactical decisions.  
14 And some of the things that you folks are talking about,  
15 the only way they're going to get in front of the jury  
16 is if you take the stand and testify. And, of course,  
17 if you take the stand and testify you open yourself up  
18 to cross-examination on a whole bunch of issues, which  
19 I'm sure you've talked to your lawyers about, and you've  
20 got to make a tactical decision.

21 Now I'm not the lawyer. I don't get to make  
22 the choice as to whether you should be taking the stand  
23 or not taking the stand or any of those sorts of things.  
24 Ultimately those are issues you've got to decide based  
25 on the evidence that you know of. And understand this:



1 I don't know anything about the evidence that hasn't  
2 been presented in this courtroom, okay? Because  
3 whatever is not here I don't know anything about. I  
4 haven't reviewed any of the discovery because it's not  
5 my job to do that and, in fact, it would be  
6 inappropriate for me to do it. I haven't read any  
7 statements. I haven't listened to any recorded  
8 statements or any recordings that were taken other than  
9 what's been played in open court, okay? So ultimately  
10 those are the decisions you're going to have to make.

11 Now I want to talk specifically about this  
12 whole alibi thing because at this point what I'm being  
13 told is we have a document. We have a document that  
14 relates to a Mr. Sallis that we can't really state with  
15 certainty that it has any connection with Mr. Lee, and  
16 we can't even state with any certainty that it has any  
17 connection to what allegedly happened on the 28th of  
18 May, all right? And Mr. Goff is basically telling me he  
19 doesn't have a witness that he can call and he can put  
20 on the stand and lay in the foundation to get the  
21 exhibit in. And he's telling me he tried to check with  
22 the hotel and he hasn't even gotten an answer yet as to  
23 who was registered there, under what circumstances, and  
24 what evidence do they have.

25 Now there are some serious questions about

1 the notice of this particular defense, you know, and at  
2 some point we'll have to address those things, but I  
3 need to know. You know, here's the deal. I mean, I  
4 might be talked into continuing this thing for a day and  
5 allowing you to try and run down some witness and see if  
6 you can't pull this thing together over the next 24  
7 hours, and it will inconvenience the jury and it'll  
8 inconvenience the Court. But I think that, you know, at  
9 a minimum knowing what we've got and seeing if we can  
10 find the witnesses, I'm willing to give you that  
11 opportunity. The real question is: Do you think at the  
12 end of the day you're even going to have anything that  
13 you could put in?

14 DEFENDANT LEE: Your Honor, I got documents  
15 that can --

16 MR. GOFF: Your Honor, the Court is  
17 completely aware of everything that I know at this  
18 point. My client tells me that we could find and get in  
19 touch with Mr. Carlton E. Sallis. And again if that  
20 were to happen and if I could confirm with Mr. Sallis  
21 and if I could get him here and put him on the witness  
22 stand, I believe, based on what is represented to me  
23 that he could tell the Court and would tell the Court  
24 that he purchased this ticket for travel for my client  
25 on May 24th, 2009 from Chicago to Atlanta.

1                   Now that doesn't prove the 28th. My client  
2 indicates to me that, as he told the Court earlier, he  
3 travels to Atlanta regularly to visit his cousin who's a  
4 musician and apparently works with him, helps him out,  
5 whatever. The only evidence that I know that I could  
6 present -- or I don't know, but I suspect or what I'm  
7 being told I could present would be either my client,  
8 and that's not gonna happen. At least right now as far  
9 as I know it's not going to happen, or his cousin would  
10 be the one who would have to put him in Atlanta on  
11 May 28th, unless somebody else was there, and I don't  
12 know that yet. He's indicated to me maybe his mother,  
13 but I don't know if she was there or not. That's what I  
14 know, Your Honor. Again I understand the issues. I  
15 didn't have this information timely. Whether that  
16 was -- whose fault it was, I guess it doesn't matter at  
17 this point.

18                   THE COURT: Mr. Myers?

19                   MR. MYERS: Judge, first on the issue of  
20 this evidence, the first thing that we would object to  
21 continuing this case at all for is even if they  
22 establish what is purported to be a trip on May 24th, we  
23 would object to relevance. The evidence has established  
24 several different ways that the buys at Sunmart and at  
25 CashWise were on May 28th. So even if they establish

1 this May 24th, it's not relevant, okay?

2 THE COURT: Well, we don't really -- the  
3 real issue is: Was he there on the 28th? And we don't  
4 have any evidence at this point and I don't think  
5 Mr. Goff knows. I mean, what we know -- hey, look, I  
6 could get on a plane on May 24th and fly somewhere and I  
7 could be back on the 24th or the 25th, or I could get on  
8 a plane and fly somewhere on the 24th and be gone for 30  
9 days. And, I mean, at this point we don't even know  
10 what the heck we're talking about.

11 MR. MYERS: And that's what I mean. Even  
12 assuming the entire proffer of this evidence is true,  
13 it's not relevant, period, unless they can establish  
14 somehow he's gone on the 28th.

15 That being said, we've been provided no  
16 notice, Judge, at all. We get this a mere matter of  
17 minutes before closing arguments and it -- that's the  
18 reason we have witness lists, exhibit lists. And I know  
19 the Court would give more leeway to defendants in a  
20 situation where we're right before trial and they  
21 present this information or right at the beginning of  
22 trial. This isn't a new case. This has been pending  
23 for quite some time. We've been in trial, what, two and  
24 a half weeks, and all of a sudden this is sprung upon  
25 us.

1                   And so for those two reasons we'd ask the  
2 Court to exclude this evidence and proceed to closing  
3 arguments.

4                   THE COURT: Mr. Goff, you really haven't  
5 told me yet whether you want the day.

6                   MR. GOFF: Your Honor, in complete candor  
7 with the Court, as I have always done and always will, I  
8 don't know what evidence exists about my client's  
9 whereabouts outside of North Dakota on May 28th. What I  
10 would -- but it's apparently -- if it exists it's going  
11 to be a family member. And what I would ask the Court  
12 would be, I guess, to give me sufficient time this  
13 morning to try and make further investigation into even  
14 the existence of an alibi defense. I guess it would be  
15 important for the Court to know before the Court even  
16 makes a ruling. So I would ask the Court to give me --  
17 I know the Court has the jury coming at 10:00. Could I  
18 have one second, Your Honor?

19                   Your Honor, we have a name and we have a  
20 phone number. If I could have an hour to just try and  
21 make that contact at this point, and then I can  
22 represent to the Court whether I think I have something  
23 or not.

24                   THE COURT: Mr. Mottinger, you had something  
25 you wanted to --

1 MR. MOTTINGER: Your Honor, a couple things  
2 in response to some of Mr. Royston's comments?

3 THE COURT: Yes.

4 MR. MOTTINGER: Mr. Royston finds himself in  
5 the same box everybody else does. In order to put a lot  
6 of information on, he would have to testify. He has  
7 chose not to testify after considering advice I gave  
8 him. And obviously the Court has had an opportunity to  
9 determine, after observing Mr. Royston, is that he is --  
10 one thing, he's not a stupid man. He was in fact in  
11 custody for a period of time in La Crosse, Wisconsin,  
12 was on house arrest, was violated off his house arrest  
13 and later turned himself into the --

14 DEFENDANT ROYSTON: No, that's not accurate.

15 MR. MOTTINGER: He turned himself in to the  
16 La Crosse County Jail. We've got records from La Crosse  
17 County Jail and Sanctions that were monitoring the house  
18 arrest and records from the La Crosse County Jail  
19 indicating when he was back in. Unfortunately there's a  
20 window in there that potentially fits into testimony of  
21 one of the witnesses. I did share that information with  
22 Mr. Myers. Mr. Myers was kind enough to offer to enter  
23 into a stipulation that we did not have to drag a deputy  
24 sheriff or somebody from Sanctions up here from La  
25 Crosse to testify to that. But, as he pointed out, if

1     that information goes in I'm certainly free to inquire  
2     as to why he was in custody. Based on that and the  
3     inconsistencies with that, Mr. Royston and I made a  
4     decision not to use it.

5             Mr. Royston also references the fact that he  
6     wishes I would have called his parole/probation officer  
7     to establish that he had a travel permit someplace. As  
8     the Court is well aware from the detention hearing we  
9     had, there is a petition for rev- -- violation of his  
10    probation pending. The last time I checked, Judge, the  
11    lawyer has the responsibility to decide which defenses  
12    to use and which witnesses to call. Mr. Royston and I,  
13    although he has expressed some dissatisfaction in court,  
14    for the most part have gotten along fairly well, and I  
15    think we continue to get along fairly well. He may  
16    disagree with some of my decisions but, as the Court  
17    indicated, we made some strategy decisions based on my  
18    view of the evidence, potential gains by offering that  
19    evidence and the potential downsides to that evidence.

20            That's all I have to say on those subjects.  
21    Mr. Royston and I may disagree, but as far as I know  
22    it's my call.

23            DEFENDANT ROYSTON: Okay. Let me clarify a  
24    few things. For one, I didn't have a violation from  
25    Justice Sanctions about my house arrest. I got

1 sentenced -- when I was released -- I got arrested in La  
2 Crosse County on March 12th of 2008. I sat in custody  
3 for 28 days. Upon my release a stipulation of my  
4 release was that I be placed on house arrest in  
5 Minneapolis at my home in Brooklyn Center, and I was to  
6 remain on house arrest until my sentencing. And then  
7 after my sentencing I was supposed to remain on house  
8 arrest until I turned myself in. At my sentencing I was  
9 sentenced to 60 days. This was back in September of  
10 2008. I got sentenced to 60 days. Now I had to turn  
11 myself in October 8th of 2008 to serve my 60-day  
12 sentence.

13 Now what Mr. Mottinger informed me was that  
14 Justice Sanctions had stopped monitoring me somewhere  
15 between maybe September to October or the end of August  
16 to October. They never notified me that they stopped  
17 monitoring me. It was not a violation. It's just the  
18 fact that I stayed on house arrest for almost seven  
19 months, and I guess they felt like they didn't have the  
20 need to monitor me anymore --

21 THE REPORTER: Excuse me, please slow down.

22 THE COURT: You need to slow down a bit.

23 DEFENDANT ROYSTON: Okay. What did you  
24 miss?

25 THE COURT: She actually has got it all



1 down. It's just that you were pushing her.

2           DEFENDANT ROYSTON: Okay. Yeah, like I was  
3 saying though there was a stint of time that  
4 Mr. Mottinger made we aware of that Justice Sanctions  
5 did say that they had stopped monitoring me for maybe a  
6 month and a half, but they never contacted me and made  
7 me aware of this so I'm still under the impression that  
8 I'm on house arrest. I mean, I'm pretty upset about it  
9 because I could have been outside during that month and  
10 a half, but at the same time I'm on house arrest.

11           And, I mean, a lot of other things that I  
12 wanted to raise was -- part of my whole argument today  
13 was the fact that -- and this is something I just want  
14 to read to you. It says even the Indictment that is  
15 sufficient on face value may be challenged.  
16 Specifically an Indictment may fail when there is a  
17 fatal variance between its allegations and the evidence  
18 introduced. It says fatal defects in Indictments are  
19 jurisdictional and may be raised at any time.

20           THE COURT: Exactly. That's the law. No  
21 question about that.

22           DEFENDANT ROYSTON: Exactly. So this is why  
23 I'm raising a lot of these issues because there are  
24 fatal defects in this Indictment. There's fatal defects  
25 in the weights, the dates and the overall allegations of

1 the Indictment.

2 THE COURT: All right.

3 DEFENDANT ROYSTON: And this is something  
4 that the Court should take into consideration, Your  
5 Honor.

6 THE COURT: Okay. Ultimately I think that  
7 the question that needed to be resolved as far as this  
8 electronic monitoring, the house arrest thing, is  
9 something you needed to resolve with your lawyer. And  
10 the real problem is -- tactically there are two  
11 problems, is that when they quit monitoring you -- and I  
12 can tell you exactly what happened is they were  
13 authorized to monitor your for six months. They  
14 monitored you for six months. Time expired. They  
15 turned off the switch. They didn't tell you. It's a  
16 failure to communicate. That's not the first time  
17 that's ever happened in that type of a situation.

18 And so I don't dispute anything you're  
19 saying about that, but it does in fact leave some period  
20 of time where nobody was watching. And, you know, you'd  
21 have to take the stand and say: One, I was on  
22 supervision. The reason I was on supervision is this.  
23 And you'd have to say: I sat there for six weeks  
24 because nobody told me that that -- that I'd come off  
25 that supervision. And all that's fine and dandy, you

1 know, but tactically what ends up happening is once you  
2 open that door. Then the government gets to ask: Okay.  
3 What crime did you commit? Why were you on supervision?  
4 What happened with that sentence? If there's a pending  
5 revocation that's relevant, too. Plus if you take the  
6 stand it opens up a whole series of questions of other  
7 prior convictions that may be admissible that were not  
8 otherwise admissible.

9           And so that's why the lawyer and you have  
10 got to talk. I mean, sometimes you have a defendant who  
11 has very little criminal history and nothing's gone  
12 wrong and you can say: I can put him on the stand to  
13 explain what happened. Sometimes what you're being held  
14 on is such a minor deal and unrelated to what you're  
15 charged with nobody cares and you can put them on the  
16 stand. Sometimes what you're being held on is  
17 sufficiently related to what you're charged with in this  
18 case that, you know, it sends off big bells and  
19 whistles. And the juries have a hard time sorting out  
20 the reason why that evidence is in, and they can use it  
21 to convict you. I mean, it's just -- it's a  
22 double-edged sword, and tactically you've got to make a  
23 choice at some point as to how you're going to do that.

24           And Mr. Mottinger is right, that ultimately  
25 the lawyer has an ethical duty to present the claims and

1 defenses that he believes are supportable by the  
2 evidence, sustainable by the law and likely to result in  
3 a positive result for his client, all right? And so  
4 that's all part of the deal.

5           Now as far as the claim that the evidence  
6 varies from the Indictment and rendering the Indictment  
7 fatally defective, I don't see it. Now part of the  
8 problem is that you have to look at the alleged dates  
9 for each of -- these charges are on or about, and that  
10 means the government need only prove that the incidents  
11 occurred reasonably near the date indicted, okay? And  
12 so all the problems with the dates are going to kind of  
13 fall -- I mean, because really if you're looking at --  
14 we're a month here. We're four days there. We're two  
15 days here. And those are things that, under the law of  
16 the Eighth Circuit, are not going to be viewed as fatal  
17 variances on the date charged. The jury will be  
18 instructed that they need to find that the conduct  
19 occurred on or about, which means reasonably near the  
20 date alleged, and you can argue that the jury should say  
21 that these are not on or about that date and are not  
22 reasonably near that date. That's all part of it.

23           Now a lot of what you're saying here can be  
24 argued based on the evidence, and I think that  
25 ultimately that's where we're at. But I don't see that

1     there's a fatal variance here. I mean, I've tried a lot  
2     of cases and I look at the evidence, and what you have  
3     here are the kind of things that happen in trials where  
4     people are fuzzy on dates, people are oftentimes fuzzy  
5     on who's where, when and why. I mean, as a practical  
6     matter people are not computers. And you're asking them  
7     things that happened two years ago and, you know,  
8     frankly if somebody asked me right now today where was I  
9     on May 28, 2009, I know because that's my daughter's  
10    birthday. I know exactly where I was. But if somebody  
11    asked me where was I on May 30, 2009, I frankly have no  
12    clue. I mean, and that's kind of -- kind of the way  
13    memory works.

14                 Here's what I think. I'm going to go ahead  
15    and recess from now until 11 o'clock. I'll give you  
16    time to try and run down what you're going to do and  
17    we'll see where we're at then. Mr. Myers?

18                 MR. MYERS: Judge, I was just going to make  
19    a suggestion. Perhaps we could address -- and we just  
20    have minor suggestions on the jury instructions.  
21    Perhaps we could do that now and then we take our break  
22    and by the time we come back we'll get everything  
23    squared away.

24                 THE COURT: Yeah, that's fine. Go ahead.

25                 MR. MYERS: After the conspiracy charge and

1 aiding and abetting the conspiracy charge, I would  
2 request the Court put together the special verdict form  
3 or findings for the drug quantities of greater than 50  
4 grams of a mixture containing cocaine base and greater  
5 than 500 grams of a mixture containing cocaine.

6 THE COURT: On the verdict form?

7 MR. MYERS: Yes.

8 THE COURT: All right. Any objection to  
9 that, Mr. Goff?

10 MR. GOFF: Your Honor, you're talking about  
11 putting that on the verdict form?

12 THE COURT: On the verdict form. And  
13 there's special interrogatories that in fact under the  
14 statute I'm going to have to ask at some point. And so  
15 the question is: If I don't ask them now we'll get a  
16 verdict, and if the verdict is guilty on aiding and  
17 abetting then we'll have to send the jury back to  
18 re-deliberate on those two questions. And so the  
19 question is: Are we better off saying if yes then  
20 answer, or if guilty answer the following two questions?  
21 And so, I mean, I'm going to have to -- if there's a  
22 guilty verdict they'll have to be asked of the jury  
23 eventually, and the question is: Do we want to have the  
24 jury deliberate once or do we want to send them back a  
25 second time?

1                   And I guess if the answer is we want to send  
2                   them back a second time, then I think we should yank all  
3                   the quantity questions at this point and just let the  
4                   guilt or innocence be decided and then send the quantity  
5                   questions if there's a conviction. You know, that makes  
6                   juries very unhappy because they think they're done and  
7                   then we send them back to deliberate some more.

8                   MR. GOFF: Your Honor, I'm in agreement with  
9                   the Court's statements and Mr. Myers' suggestion. I'd  
10                  like to have the quantities included on the verdict  
11                  forms because I intend to argue that issue and I want  
12                  the jury to deliberate that issue. Now I'm not sure my  
13                  client agrees with me on that right now. That's what I  
14                  think.

15                  THE COURT: All right.

16                  MR. MOTTINGER: I'd like to be able to argue  
17                  it, Judge. If the numbers aren't in there we can't  
18                  argue it and we've got a problem.

19                  THE COURT: Mr. Loos?

20                  MR. LOOS: I agree, Your Honor.

21                  MS. GOETZ: I'm in agreement.

22                  THE COURT: And I think we ought to do that.  
23                  Let's get it to the jury at one point. I think the  
24                  problem is if you get a conviction -- and I'm saying  
25                  this for the defendants' benefit. The real problem is

1 if you get a conviction and the jury thinks their work's  
2 done and then you send them back out to mess with the  
3 quantities, the lawyers never really get to argue it  
4 because it doesn't make much sense. And historically if  
5 there's no new evidence presented there's no re-argument  
6 allowed.

7 And so I guess I think it's really in the  
8 defendants' benefit to have those questions asked  
9 because frankly I think that one of the strongest  
10 arguments that can be made in this case is that the  
11 quantities don't add up. And that's -- you know, along  
12 with some other credibility things, and I think that at  
13 the end of the day that that's where -- that's where the  
14 argument lies. And so I think that taking that away  
15 from the defendants and saying let's wait on that is a  
16 bad idea.

17 Mr. Myers?

18 MR. MYERS: The other area I saw in the --  
19 Count Fourteen, the CCE charge, the series of three or  
20 more related felony controlled substance laws, I'd ask  
21 the Court to review the jury instruction that the Court  
22 used in the Chavez case which lists the substantive  
23 counts that we allege in the Indictment. And the way  
24 it's written here it seems to suggest that you have to  
25 have each of these three. And, as the Court is well



1     aware, we just have to prove a series of three or more  
2     of any controlled substance violation. And so listing  
3     the substantive counts, and then perhaps a statement  
4     that articulates that we only need to prove a series of  
5     three or more related felonies. So they can be a  
6     combination of all three of these. They could find one  
7     marijuana, one cocaine powder and one marijuana. And so  
8     the way it's written is just -- I don't think the way  
9     it's written is an accurate statement of the element we  
10    have to prove.

11                   THE COURT: Mr. Goff?

12                   MR. GOFF: Well, Your Honor, I -- I liked  
13     that portion of the instruction as written. I think  
14     it's a fair statement of the law. It I think represents  
15     the violations alleged in the Indictment, and I think  
16     it's a fair question to ask the jury to find the way  
17     it's written.

18                   THE COURT: Mr. Mottinger?

19                   MR. MOTTINGER: I don't think that we've got  
20     a dog in that fight, Judge.

21                   THE COURT: All right. And that's true with  
22     you, Mr. Loos, as well?

23                   MR. LOOS: Yeah. I have no position, Your  
24     Honor.

25                   THE COURT: Ms. Dietz -- or Miss Goetz? I'm

1     sorry.

2                   MS. GOETZ: I have no position.

3                   THE COURT: Here's my problem is that I  
4     think that the government's right as a matter of law  
5     that they can prove any kind of combination of  
6     underlying drug felony offenses in order to meet the  
7     continuing criminal enterprise statute. And I don't  
8     think the language as proposed in the instruction is  
9     technically wrong, but I think that if I'm going to  
10    leave the verdict form as it is I'm going to have to  
11    instruct on the question of what constitutes a series of  
12    three or more related felonies. And I think that if I  
13    do that it's going to get stickier, and I'm more  
14    inclined to say let's go ahead and revisit the  
15    substantive counts because I think that's really the  
16    only evidence that's out there.

17                   There has been no evidence really presented  
18    that goes much beyond the actually charged items, and we  
19    don't want to get in a situation where the jury starts  
20    trying to put together three and they start thinking  
21    about something that happened somewhere else in  
22    Minnesota that resulted in this conviction and sentence  
23    years ago, which is clearly not relevant and is only in  
24    for a limited purpose. And we told the jury what the  
25    limited purpose is, but the problem is, is that once

1 they get out there and start talking you never really  
2 know what they're talking about.

3 And so I'm inclined to take a look at this,  
4 and I probably will change it, but I won't make a final  
5 ruling at this point. What I'll do is I'll go back,  
6 read it, take a look at it, and when I get done I'll get  
7 it to you as soon as I've got it figured out.

8 Mr. Myers, anything else?

9 MR. MYERS: I just have a couple more,  
10 Judge. In the people that are listed as supervisees or  
11 people that the defendant managed or organized --

12 THE COURT: Yes.

13 MR. MYERS: -- I would ask the Court to take  
14 out the following because I don't think there's  
15 sufficient evidence of the defendants' supervision over  
16 these people or management.

17 THE COURT: All right.

18 MR. MYERS: Gov, who the Court will recall  
19 was a source of supply.

20 THE COURT: Yes.

21 MR. MYERS: Keith Murry, Eugene Lindsay,  
22 Adrienne "Promise" American Horse, Clarissa Takes Horse,  
23 Lemont Rogers, Galen Smith, Cavelle Smallwood, Lionel  
24 Fraction, Kenny DeBerry and Darrin Raysor. I would ask  
25 the Court take those out. I don't think a jury could

1 find those people were organized, supervised or managed  
2 by this defendant. They were either sources of supply,  
3 competitors or customers.

4 And then we'd ask the Court to add Rocco.  
5 We heard an abundance of testimony about Rocco that came  
6 in and distributed. That's the kid that was robbed at  
7 Tambi Bishop's.

8 THE COURT: Yeah, and he needs to be added  
9 to my Bell order, too, so I just forgot him.

10 Mr. Goff?

11 MR. GOFF: Your Honor, I think this list,  
12 with the exception of Rocco, is consistent with the list  
13 that the Court included in its Bell finding. And I  
14 would submit, Your Honor, that that's because if you  
15 look at the evidence as presented by the government and  
16 you believe everything that the government witnesses  
17 testified to and all the other evidence that they  
18 presented, I think it's possible one could find that  
19 those people were a part of this organization. I just  
20 don't -- I don't think it's appropriate to take them out  
21 of there. I think it's a fair depiction of the names  
22 and possible -- you know, possible people involved in  
23 this -- in these transactions. And I don't think the  
24 jury should be instructed to consider some and not  
25 others because they know all these people's names. They

1 know all the discussion that was -- all the testimony  
2 that was presented about these people. And I disagree  
3 with the request of the government, Your Honor. I'd ask  
4 that the names be included as they are because that's  
5 what the evidence is.

6 THE COURT: Really the only way that you can  
7 get there is if somebody were to decide that Mr. Lee was  
8 in fact sort of the organizer, supervisor, the kingpin,  
9 whatever you want to describe him, of this entire  
10 conspiracy, and that therefore he had indirect control  
11 over everyone. And the question really I think becomes  
12 this and that is: Is there sufficient evidence to let  
13 that go to the jury? And basically the government's  
14 telling me there's not enough evidence as regards these  
15 defendants -- or these alleged co-conspirators. And my  
16 question really is: Is your client willing to waive the  
17 possibility that the jury would reach a perverse verdict  
18 on that particular question?

19 I mean, because here's what I don't want to  
20 see happen is I don't want to see you jumping up and  
21 down and saying: We gotta let it go to the jury. Then  
22 the jury comes back and says: Okay. We think that Gov  
23 is somebody controlled by Ferris Lee. And then you're  
24 going to say: Oh, by the way, that's against the great  
25 weight of the evidence. It's a perverse verdict, and

1     there's insufficient evidence as a matter of law to  
2     sustain the finding of guilty on the continuing criminal  
3     enterprise, because we know there's no evidence about  
4     Gov and how do we know -- I mean, it's just apparent  
5     they just ignored the evidence, and then all of a sudden  
6     there's a collateral attack made on all their findings  
7     whatsoever.

8                     And so my question is: Is your client going  
9     to waive that on his 2255 and on his appeal.

10                    MR. GOFF: No, I'm sure he's not, Your  
11     Honor.

12                    THE COURT: I'm sure he's not either, and  
13     therefore, I'm going to strike Gov; Keith Murry, also  
14     known as Moe; Eugene Lindsay; Adrienne "Promise"  
15     American Horse; Clarissa Takes Horse; Lemont Rogers,  
16     also known as Wow-Wow; Galen Smith, known as Smitty;  
17     Cavelle Smallwood; Lionel Fraction; Kenny DeBerry; and  
18     Darrin Bernard Raysor.

19                    MR. MYERS: The only other thing, Judge, is  
20     at the end the --

21                    THE COURT: And I'm going to add Rocco,  
22     sorry.

23                    MR. MYERS: Thank you. The principal  
24     leader, organizer, this is a penalty enhancement under  
25     Section 848(b). That's the same penalty enhancement we

1 used in the Chavez case, and I'm guessing that's maybe  
2 where this came from. That penalty Section 848(b) is  
3 for the mandatory life on the continuing criminal  
4 enterprise. As the Court recalls, that's 300 times the  
5 underlying drug quantity in 841(a)(1). We have not  
6 charged that, and so I don't think a jury needs to  
7 answer that question.

8 THE COURT: That's true. The evidence  
9 doesn't sustain it even if it were charged.

10 MR. GOFF: Which one is that?

11 THE COURT: The very last question, question  
12 three, principal, organizer and leader. That requires a  
13 showing -- it's the kingpin provision. It's the  
14 provision that gets you there for life. They have to  
15 show that you have sold -- or the organization moved 300  
16 times the quantity, and there's just -- I mean, there's  
17 a question whether they got to the quantity let alone  
18 the 300 times the quantity. So there's not -- I mean,  
19 it wasn't charged. That would be a fatal variance right  
20 there because it's not indicted and there is no  
21 evidence.

22 MR. GOFF: Your Honor, may I -- are you  
23 through?

24 MR. MYERS: Yeah, I'm done.

25 MR. GOFF: One other issue with Count

1 Fourteen and the verdict form in comparison with the  
2 Instruction No. 11, continuing criminal enterprise. The  
3 instruction includes five essential elements. Number --  
4 well, No. 4, which is the organizer or supervisor, which  
5 I think is what the Court just struck from the verdict  
6 form, and No. 5 is the substantial amount of money,  
7 which the Court has already instructed on previously I  
8 think in the preliminary instructions. And there is  
9 nothing in the verdict form about that.

10 THE COURT: Mr. Myers, do you wish to be  
11 heard on that?

12 MR. MYERS: Judge, there's a multitude of  
13 Eighth Circuit cases that talk about substantial income,  
14 and there's no definition aside from the definition that  
15 the Court has provided in the standard jury  
16 instructions. There's no requirement that we prove any  
17 specific amount at any time, and so I think there is no  
18 need for an instruction specifically on the verdict  
19 form.

20 MR. GOFF: Well, I understand the  
21 government's position, Your Honor, but it seems to me as  
22 an essential element it should be alleged. They can be  
23 instructed there's no definition of what that is, but  
24 they can reach a reasonable definition themselves, as I  
25 think they would have to do.



1           THE COURT: All right. First of all,  
2 Mr. Goff, the defendant still has to be an organizer,  
3 supervisor or manager of five or more people. That is  
4 in the continuing criminal enterprise statute. What I  
5 struck was the provision that you can prove which shows  
6 that you were the principal administrator, you know, and  
7 so that provision is still in there. There is no  
8 definition of "substantial amount of money," and the  
9 case law is pretty clear that they don't have to prove  
10 anything, that those are words that the jury knows and  
11 that they're able to apply their common sense and  
12 understanding as to what constitutes a substantial  
13 amount of money. The case law also says they can  
14 consider everything when they look at it. It doesn't  
15 talk about profit, and so that's part of it.

16           I'm not really inclined to instruct further  
17 on the -- and give a definition of "substantial amount  
18 of money" because the Circuit's always declined to do  
19 that. If I give an instruction on "substantial amount  
20 of money or other property," all I'm going to say is  
21 that the words should be given their usual and customary  
22 meaning in the English language, and if you want me to  
23 say that I'd be happy to say it.

24           MR. GOFF: I would, Your Honor.

25           THE COURT: All right. And we'll just add

1 at -- before the -- after the fifth element and before  
2 we get to the last conclusory paragraph, just that as  
3 used herein the words substantial amount of money or  
4 other property -- or probably what I'll say is:  
5 Substantial amount of money or property -- or other  
6 property means what the words would ordinarily mean in  
7 the English language, period.

8 MR. GOFF: Thank you, Your Honor.

9 Additionally my client is concerned about the -- back to  
10 the verdict form, on Count Fourteen again, same one, the  
11 second question about the list of names. And Mr. Myers  
12 went through them fairly quickly, as did the Court.  
13 Is the Court's intention to include Zach Jones?

14 THE COURT: Yes.

15 MR. GOFF: I don't think there's any more  
16 evidence in this case, Your Honor, that my client was a  
17 supervisor or manager of Zach Jones than Gov or Cavelle  
18 Smallwood himself or any of the rest of the group that  
19 are being -- that have been excluded, Your Honor. The  
20 only evidence that I know of is that Zach Fat -- Zach  
21 Jones, Fats, allegedly sold some drugs to Cavelle  
22 Smallwood and said they came from Ferris -- or Smallwood  
23 says that Fats says they came from my client, sand  
24 that's all that I recall about that, Your Honor. I  
25 don't think that's necessarily a person that he

1 supervised or even reasonably.

2 MR. MYERS: Judge, Jake Northern testified  
3 that Ferris Lee brought him from St. Cloud and placed  
4 him in Bismarck and that, as I recall, he was working  
5 with Rob. I think that's more than sufficient evidence  
6 in light of the record to have him listed there.

7 THE COURT: I believe there is a sufficient  
8 question there.

9 MR. GOFF: Well, then this is also not going  
10 to fly, Your Honor, but my client wants to ask that  
11 Marcus Royston be struck from that because of the --  
12 because of the allegations, I guess, of some of the  
13 evidence that he possibly was a source of controlled  
14 substance rather than somebody who would have been  
15 supervised by my client for the record, Your Honor.

16 MR. MYERS: Judge, as the Court knows,  
17 people can change roles during the course of an  
18 enterprise. He was the source at one time, and then  
19 during the transaction May 28th he was under the  
20 supervision and control of Mr. Lee when he delivered the  
21 crack to Herb Brown.

22 THE COURT: The problem is, of course, if  
23 you look at the testimony of Herb Brown the story is  
24 that Mr. Lee hands the drugs to Mr. Royston and then  
25 Mr. Royston delivers the drugs. If the jury chooses to

1 believe that based on the record that's before it, that  
2 in fact could be a moment of supervision sufficient to  
3 create a jury question.

4 MR. GOFF: That's all I have, Your Honor.

5 THE COURT: You also have the statement --

6 MR. GOFF: My client disagrees with that,  
7 but that's all I have.

8 THE COURT: I'm sure he does, but you also  
9 have the text that says hit up BD, all of which, you  
10 know, tends to indicate some sort of a relationship.  
11 And ultimately, like I said, it's not what I believe to  
12 be true. It's what the jury is going to decide based on  
13 the arguments and the facts.

14 Mr. Lee?

15 DEFENDANT LEE: I want to say something.  
16 Okay. He just said that whoever he said testified that  
17 Rashad -- or whatever he just said, well, Rashad said  
18 hisself up there that he don't know where Fats got his  
19 drugs from. And Rashad Jackson said -- when he got up  
20 there and testified, his statement was that he was doing  
21 whatever he was doing for a month and a half, then he  
22 stopped. So if he's saying he did whatever he did for a  
23 month and a half and he came down there in December,  
24 they got popped off in March, so how do I have anything  
25 to do with that?

1                   THE COURT: It's Jake Northern. And once  
2 again the question is who believes whatever with  
3 Mr. Northern is ultimately the issue.

4                   Mr. Mottinger?

5                   MR. MOTTINGER: As the instructions apply to  
6 Mr. Royston, we don't have any objections.

7                   THE COURT: Mr. Loos?

8                   MR. LOOS: Your Honor, I'd just ask what you  
9 plan on doing with Count Ten as far as the instructions  
10 go?

11                  THE COURT: It's going to come out. I've  
12 dismissed it.

13                  MR. LOOS: Okay. Then I have no other  
14 problems with it.

15                  MS. GOETZ: I have no objection to the jury  
16 instructions, Your Honor.

17                  THE COURT: Thank you, Ms. Goetz. That  
18 takes -- you have something else?

19                  MR. MYERS: Just a point of clarification as  
20 to Count Ten. I assume the Court intends to leave --  
21 just take out Maurice Forest because Mr. Lee is charged  
22 in both.

23                  THE COURT: Yes.

24                  MR. MYERS: Okay. Thank you. That's all.

25                  THE COURT: All right. Thank you.

1 (Recess taken; 10:10 a.m. to 1:30 p.m.)

2 (In open court, all counsel and the  
3 Defendants present, outside the presence and hearing of  
4 the jury:)

5 THE COURT: We'll go on the record in a case  
6 entitled United States of America versus Ferris Lee,  
7 Marcus Royston, Maurice Forest and Jessica Dietz. The  
8 record should reflect that each of the defendants are  
9 present along with their counsel of record, and the --  
10 Mr. Myers and Ms. Lawyer appear on behalf of the United  
11 States.

12 Mr. Goff, is there something you want to  
13 bring before the Court?

14 MR. GOFF: Yes, Your Honor. I have been  
15 informed -- just hung up the telephone, as I walked into  
16 the courtroom, with Dennis Byron, who is -- represented  
17 to me that he is in Atlanta, and he's the editor of a  
18 publication called Hip Hop Enquirer. He does some other  
19 stuff, too. But in any event he is a publicist in the  
20 media business, works with my client's cousin, Jason  
21 Frazier, who is in the music business, music videos,  
22 that sort of thing. I've talked with both of them,  
23 Jason Frazier, my client's cousin, and now Dennis Byron  
24 just moments ago, and I also spoke with Mr. Sallis,  
25 Carlton Sallis. Mr. Sallis confirmed for me that he

1 made the reservation on -- for May 24, 2009, for Ferris  
2 Lee to travel from Chicago to Atlanta, Georgia, and to  
3 the best of his knowledge Ferris used that airline  
4 reservation. And then I was told within the last half  
5 an hour by both Jason Frazier, my client's cousin, and  
6 also Dennis Byron that my client was in Atlanta on  
7 May 28th, 2009.

8 With the comments that I made earlier to the  
9 Court as far as the circumstances of becoming aware of  
10 all this, that still stands. That's still the way this  
11 thing has arisen. I spoke with both of them about the  
12 availability. Mr. Byron has other commitments tomorrow,  
13 but he said if need be he would comply with the request  
14 to come here.

15 THE COURT: Is that a request for --

16 MR. GOFF: Under the circumstances, Your  
17 Honor, I think I'm duty-bound to make the request to the  
18 Court to have an opportunity to present this evidence.

19 THE COURT: Mr. Mottinger, do you wish to be  
20 heard on this issue?

21 MR. MOTTINGER: It would seem to me that if  
22 Mr. Goff's in a position to put on evidence that  
23 indicates Mr. Lee was not present during the May 28th  
24 buys that it could be advantageous to my client as well.  
25 I certainly wouldn't object to Mr. Goff having an

1 opportunity to do that.

2 THE COURT: Mr. Loos?

3 MR. LOOS: Your Honor, I guess I don't  
4 object. I don't really take a position with it.

5 THE COURT: Ms. Goetz?

6 MS. GOETZ: Your Honor, I don't necessarily  
7 have a dog in this fight, but I don't object, and I  
8 agree that Mr. Goff should be able to present this  
9 evidence.

10 THE COURT: Mr. Myers?

11 MR. MYERS: Judge, we oppose allowing the  
12 defendant to present this evidence. We have rules of  
13 disclosure of witnesses and exhibits prior to trial for  
14 a reason. The defendants were all put on notice at the  
15 time they were indicted as to the dates of these  
16 offenses. Now moments before closing arguments again we  
17 have now two additional witnesses that could have been  
18 disclosed to us months ago. And, Your Honor, if it was  
19 the government that made this request there is  
20 absolutely no way we would be allowed to present this  
21 evidence.

22 Based on the late timing of this  
23 information, these defendants should not be allowed to  
24 present this evidence and it should be excluded. In our  
25 view, Judge, this is not in any way reversible error



1 when the defendants could have known this information  
2 months and months ago, and we're more than happy to  
3 argue that issue on appeal. They should be held to at  
4 least a similar standard as the United States on these  
5 issues.

6 THE COURT: Mr. Goff?

7 MR. GOFF: Your Honor, I completely  
8 appreciate Mr. Myers' comments and his position and  
9 would probably represent the same thing if I were in his  
10 shoes. But I'm not in his shoes, and I'm not in  
11 Mr. Ferris Lee's shoes. And in light of what the not  
12 only potential but obvious and clear result of this  
13 criminal case is going to be if the jury convicts my  
14 client of the offenses charged, it will be the rest of  
15 his life. And I'm not saying that he should be given  
16 carte blanche or free reign to do anything that he wants  
17 as a result of that, but I do think that it raises the  
18 issue of making sure that all credible available  
19 evidence is presented to this jury. And I realize and I  
20 recognize and acknowledge that we have not complied with  
21 any rules of notice regarding any alibi defense but,  
22 having said that, I don't know -- I can't answer for the  
23 Court why this evidence has not been brought forth  
24 previously. I brought it forth to the Court's attention  
25 within a matter of hours probably or certainly a day

1 after it was brought to my attention and have done  
2 everything I can to follow up with investigation in the  
3 last 24 hours and including, as I said, within the last  
4 half an hour obtaining much of the information from  
5 Mr. Byron and Mr. Frazier.

6 And in light of all of the circumstances and  
7 all of the facts that the government has been able to  
8 present in this case and the potential or likely or  
9 obvious result if my client is convicted, I think in the  
10 face of -- considering the length of this trial, the  
11 minimal impact it will have upon the government, I'd ask  
12 the Court allow the evidence.

13 Having said that, Your Honor, I have no  
14 arrangements for these people to be here. I've just  
15 been -- told the Court everything I know about what they  
16 would say, and at least Mr. Byron said he would be  
17 available if I can get him here. Mr. Frazier did not  
18 say he wouldn't be available, but it's obviously  
19 difficult for them, too. But I fully honestly represent  
20 to the Court that I've been told they would be here if  
21 necessary, if allowed.

22 THE COURT: We'll recess until 10 o'clock  
23 tomorrow morning. You have whatever witnesses here you  
24 intend to call. If the government needs a continuance  
25 after they've heard from those witnesses, I'll give the

1 government an opportunity for a continuance. That's I  
2 think where we're at on this thing.

3 And I appreciate, you know, what -- what the  
4 United States is -- what they're protesting, and really  
5 my concern really is a couple of things. And I know  
6 they feel very comfortable arguing the issue on appeal.  
7 If I thought that it was going to end on appeal I  
8 would -- I would have no problem endorsing the  
9 government's position. My problem is I don't think it  
10 ends on appeal. I think we end up with a 2255 motion  
11 where there are claims of ineffective assistance of  
12 counsel and there's need for evidentiary hearings, and  
13 it just becomes a huge mess. And I think that the  
14 matter of a delay at this point, you know, of half a day  
15 strikes me as better than having to deal with this thing  
16 in a post-conviction proceeding if we get to that point.

17 And I just look at it -- the amount of work  
18 that it takes for the Court in those situations. I know  
19 the government always says we're happy to defend those  
20 2255 proceedings, but they're way more work for the  
21 Court than it is to take this four-hour break and hear  
22 whatever evidence there will be presented tomorrow. As  
23 far as the judicial economy is concerned, I'd prefer to  
24 just let it go that way.

25 Let's go ahead and bring in the jury and

1 we'll send them home.

2 MR. MYERS: Judge, before we do that, could  
3 we make a request from the Court to get the full name  
4 and spelling of these witnesses and any contact  
5 information that the defense has?

6 THE COURT: Yes.

7 MR. MYERS: Obviously we -- this is the  
8 first we heard of Sallis this morning, and five minutes  
9 ago we heard of Byron and Frazier and --

10 THE COURT: I want the names and addresses  
11 and their contact information, including phone numbers  
12 of where these people can be reached, delivered to the  
13 United States within five minutes of the time that we  
14 adjourn here.

15 MR. GOFF: I will, Your Honor. I don't have  
16 addresses yet, but I will try and acquire that.

17 (In open court, all counsel and the  
18 Defendants present, in the presence and hearing of the  
19 jury.)

20 THE COURT: We'll go on the record in a case  
21 entitled United States of America versus Lee, et al.  
22 It's file No. 3:09-cr-155. Each of the named defendants  
23 is present along with their counsel. Mr. Myers and  
24 Ms. Lawyer are present on behalf of the United States.  
25 The jury's in the box.

1           Ladies and gentlemen of the jury, I want to  
2 apologize for the delays. We still have some work to do  
3 here. I think that it's unrealistic to think we're  
4 going to get anything meaningful accomplished yet today  
5 so I'm going to send you home and ask you to come back  
6 tomorrow morning at 10 o'clock.

7           I want to remind you that you're not to  
8 discuss the case, form any opinions or draw any  
9 conclusions until the case is ultimately submitted to  
10 you for your deliberations. Thank you. You're not to  
11 speculate as to why we've run into the problems that we  
12 have today, please.

13           (Jury excused.)

14           (In open court, all counsel and the  
15 Defendants present, outside the presence and hearing of  
16 the jury:)

17           THE COURT: I want you guys here at 9:00  
18 tomorrow morning. Should we take up the jury  
19 instructions now? Do you want to --

20           MR. MYERS: That would be our preference,  
21 Judge.

22           THE COURT: All right. Please be seated.  
23 We're outside the presence of the jury. The defendants  
24 are present along with their counsel, and the AUSAs are  
25 present as well.

1 Mr. Myers?

2 MR. MYERS: Judge, I just wanted to address  
3 some of the issues in the jury instructions I've been  
4 handed, I think the sticky note version of the changes  
5 on these final instructions. The first change there's  
6 a -- it's highlighted under Count Ten on -- I don't know  
7 what page, but that's the first one. No objection to  
8 that.

9 Instruction No. 8, it refers to Defendant  
10 Maurice Forest is charged as an aider and abetter in  
11 Count Eleven. No objection to that.

12 The CCE Instruction No. 11 where the Court  
13 has inserted "substantial amount of money or property,"  
14 no objection to that.

15 THE COURT: There's a period in the middle  
16 of that that's got to come out, but other than that,  
17 yeah, that's fine.

18 MR. MYERS: Okay. Instruction No. 14, I  
19 don't have an objection at all, Judge, to the substance  
20 of the instruction, but I do to the title of it because  
21 you -- in the body of the instruction it says you're not  
22 to speculate what happened and then at the top it says  
23 dismissal. So we'd ask the Court to change that to some  
24 language that's neutral.

25 THE COURT: I'm inclined to change it to say

1 "resolution of some charges against single defendant."

2 Does that work for you?

3 MR. MYERS: That's fine with us, Judge.

4 THE COURT: Any objection to that from any  
5 of the defendants?

6 MR. MOTTINGER: No.

7 MR. GOFF: No, Your Honor.

8 MS. GOETZ: No, Your Honor.

9 THE COURT: All right.

10 MR. MYERS: On the verdict form, Judge, as  
11 it relates to the cocaine base and cocaine powder, we'd  
12 ask that the Court put in "a mixture and substance  
13 containing." Both those in the statute articulate that,  
14 and we'd ask that that change be made.

15 As it relates to the marijuana, I don't  
16 think there's any need for a special verdict form on the  
17 marijuana. That's merely a sentencing issue for the  
18 Court at sentencing. There is no requirement that the  
19 jury make any special finding that it is -- contains any  
20 marijuana so I think that's just -- it's not necessary.

21 MR. GOFF: Which verdict form is this, Your  
22 Honor?

23 THE COURT: If you start at Count One, the  
24 government has essentially asked that where we refer to  
25 quantities, that first that it include a mixture

1 containing cocaine or cocaine base, and that is the  
2 statutory language, and I think that -- and I haven't  
3 looked at the Indictment today. I don't know what the  
4 exact language is in the Indictment.

5 MR. MYERS: It says crack cocaine and a  
6 mixture and substance containing powder. And, Judge, by  
7 definition crack cocaine is a mixture and substance, and  
8 that's what the statute says.

9 THE COURT: Anything else, Mr. Myers?

10 MR. MYERS: We have no objection to the  
11 remaining.

12 THE COURT: All right. Mr. Goff, any  
13 objection to the proposed change to the verdict form?

14 MR. GOFF: Your Honor, I would like to be  
15 heard on the verdict form for Count One, and it's not  
16 about the quantity because the statutory language and  
17 the Indictment both talk in terms of 500 grams of a  
18 mixture and substance containing cocaine. But I think  
19 what Mr. Myers said, if I understood correctly, was on  
20 the last paragraph of that verdict form regarding  
21 marijuana, he suggested that that be deleted. Was I  
22 correct on that?

23 THE COURT: Right.

24 MR. MYERS: Right.

25 MR. GOFF: I would resist that, Your Honor,



1 strenuously actually because it seems to me that the way  
2 the Indictment is phrased and the way the Instruction  
3 No. 5 regarding conspiracy is phrased, it talks in terms  
4 of --

5 THE COURT: It's fine, Mr. Goff. If you  
6 object to taking marijuana out and -- it's one question  
7 in a 20-page jury verdict form. It's clearly  
8 contemplated by the statute. It's clearly contemplated  
9 in the Indictment. Whether it becomes a factor I  
10 consider at sentencing or you let the jury decide  
11 whether marijuana was part of this conspiracy, it  
12 doesn't matter. At the end of the day what's going to  
13 happen is if the jury finds the existence of a  
14 conspiracy to deliver marijuana, they're going to do it  
15 to proof beyond a reasonable doubt and that's fine.

16 If it's presented to me as a sentencing  
17 factor, the burden of proof is to a lesser standard  
18 anyhow. And so if the jury finds that marijuana is part  
19 of this, it solves the problem forever. If the jury  
20 finds that marijuana was not part of it, then we're  
21 going to end up in that whole situation, is what do you  
22 do with acquitted conduct in the course of sentencing?  
23 And frankly, given the mandatory minimums that exist for  
24 some of the other charges, that if they get to the point  
25 that that's the real big hang-up in the sentencing your

1 clients will have prevailed on many other issues.

2 MR. GOFF: Your Honor, there is one point  
3 that I wanted to raise, and I want it to be clear,  
4 because in No. 1 under the essential elements of  
5 conspiracy it talks in terms of distributing in excess  
6 of 50 grams of cocaine base, in excess of 500 grams of a  
7 mixture containing a detectable amount of cocaine, a  
8 Schedule II controlled substance, and marijuana. It's  
9 an inclusive language, and that's the way the Indictment  
10 reads, too. And it would seem to me, Your Honor, that  
11 the way the government has indicted this case is it's  
12 inclusive proof of all of those elements.

13 THE COURT: Yeah. That would be an argument  
14 that's been advanced to the Eighth Circuit a number of  
15 times and repeatedly rejected. You know, it's not  
16 really consistent with the way that your grammar teacher  
17 would have taught you, but the case law out of the  
18 Eighth Circuit says that "and" means "or." I kid you  
19 not. That's the way it is. And so that particular  
20 issue's been in front of the Court of Appeals in St.  
21 Louis dozens of times, and they've come back with the  
22 same ruling all the time.

23 MR. GOFF: My objection stands as is, Your  
24 Honor.

25 THE COURT: And it's preserved for the

1 record, and you can bring it up there for the next time,  
2 if it gets there, and we'll see what the Court of  
3 Appeals says on it. I think it's not necessary that  
4 the government -- that even though they've indicted that  
5 the conspiracy has as its objects three alternative  
6 illegal things and they do it inclusively such that the  
7 word "and" appears, that they don't have to prove all  
8 three. I think that's pretty clear.

9 MR. GOFF: I have no objection to the other  
10 matters, Your Honor.

11 THE COURT: Mr. Myers, do you wish to be  
12 heard on that particular item? I guess I've already  
13 said what I think the law is.

14 MR. MYERS: Yeah. I don't have any -- I  
15 agree with the Court. It's kind of superfluous language  
16 in the big scheme of things so -- and of course if  
17 they're arguing that we have to prove all three versus  
18 the agreement to distribute one or all of them, then of  
19 course that's an objection this Court's going to sustain  
20 because that's -- as the Court articulated, that's not  
21 the law.

22 THE COURT: All right. Mr. Mottinger?

23 MR. MOTTINGER: Your Honor, we would take  
24 the same position as Mr. Goff does in regard to these  
25 specific instructions on Mr. Royston. I understand the

1 Court's ruling.

2 THE COURT: All right. Mr. Loos?

3 MR. LOOS: I'll take the same position as  
4 well, Your Honor.

5 THE COURT: And Ms. Goetz?

6 MS. GOETZ: My position is the same as  
7 theirs, Your Honor.

8 THE COURT: If overnight any of you want to  
9 look at some case law and you can find a case that  
10 actually stands for the proposition advanced that comes  
11 out of our circuit, I'd certainly be willing to revisit  
12 the issue. But I've done a lot of research on that  
13 issue at different times, and it always seems to be --  
14 doesn't take me very long to find the cases. But if you  
15 find something I'll be more than willing to listen.

16 Anything else that we need to take up?

17 (No response.)

18 THE COURT: We'll stand in recess until  
19 tomorrow morning.

20 MR. MOTTINGER: Your Honor, may I inquire?

21 THE COURT: You may.

22 MR. MOTTINGER: Do the preliminary  
23 instructions go in the jury room as well?

24 THE COURT: Yeah. What the jury will get is  
25 they'll get all of the exhibits that have been received

1 that are not contraband. We'll spread the contraband  
2 out on a table and give them a chance to look at it, and  
3 then we'll take possession of it. If at any time they  
4 want to see it again, we'll bring them back in the  
5 courtroom to view that evidence.

6           They'll get three copies of the Indictment  
7 and they'll get -- with the dismissed defendants' names  
8 eliminated where they appear in the Indictment. And for  
9 Mr. Forest, the dismissed count, his name will be  
10 blacked out of that count as well. And then they'll get  
11 the preliminary instructions and my final instructions,  
12 and the only instruction that they will not get, because  
13 I never reduced it to writing, is the instruction that  
14 basically says that the evidence is what you hear or  
15 what you see, not what you read. And I think I've said  
16 that to them eight times. I don't think I need to  
17 repeat it again.

18           MR. MYERS: Is the Court -- just for  
19 housekeeping, is the Court going to read the entire  
20 final instructions? I know that most of these were  
21 already read with the exception of the couple  
22 highlighted changes.

23           THE COURT: Yeah, I am. I'm going to read  
24 the instructions, all 16 of them. I'll read the first  
25 14, and then I'll read 15 and 16 after the arguments.

1                   How long does -- well, we don't even know  
2                   what evidence is in. I won't ask that question.

3                   MR. MYERS: It's about an hour if that's  
4                   what --

5                   THE COURT: That's --

6                   MR. MYERS: My closing is going to be about  
7                   an hour.

8                   THE COURT: Mr. Lee?

9                   DEFENDANT LEE: Something I want to add.  
10                  Okay. On Count Eleven was supposed to be the CI, Galen  
11                  Smith, he came in here and he testified. He said he  
12                  made a phone call and he said he believed that it wasn't  
13                  me that he talked to on Count Eleven. And I'm trying to  
14                  figure out, okay, if the CI said that he placed a call  
15                  and he believed it wasn't me, then why am I charged on  
16                  Count Eleven?

17                  MR. MYERS: Judge, the evidence on that  
18                  count is the defendant set up that transaction and Big  
19                  Man, Maurice Forest, made the delivery.

20                  DEFENDANT LEE: But the CI said that he  
21                  believed it wasn't me that he talked to.

22                  MR. GOFF: Your Honor, I advised my client  
23                  that if that's the recollection of the testimony that  
24                  would be argument and go to credibility and weight and  
25                  so forth, but he wanted to bring that up to the Court.

1 THE COURT: Right.

2 DEFENDANT FOREST: Your Honor, I want to say  
3 something, too. He didn't say Big Man. He said D. So  
4 it ain't Big Man that he said. He said D. And he did  
5 state that Ferris -- he didn't -- he didn't hear  
6 Ferris's voice on the phone call or Ferris wasn't on the  
7 delivery. So why is he charged with it?

8 THE COURT: I don't have an exact  
9 recollection of the testimony of Mr. Smith as regards  
10 that, and I'm going to have to look at it.

11 MR. MYERS: Judge, regardless it's all  
12 aiding and abetting theory, the whole thing is. So  
13 regardless that count's going to stand on Mr. Lee.

14 THE COURT: Yeah. I mean, I can appreciate  
15 that. I mean, the problem is always in a conspiracy,  
16 particularly when the allegations are that people were  
17 operating under the direction and control of somebody,  
18 is that the aiding and abetting part is exceptionally  
19 difficult to get rid of if there's evidence that would  
20 sustain that that relationship existed, and that's part  
21 of the deal. But anyhow the specific -- I mean, I do  
22 remember that the testimony was that D did it, not Big  
23 Man. And I assume that that's something that people can  
24 argue as well. And, you know, whatever we've got is  
25 what we've got.

1 I'll take a look at the rest of it though,  
2 Mr. Lee, and I'll make some kind of a ruling on it. I  
3 suspect that when I get done reading that I'm going to  
4 arrive at the conclusion that there is sufficient  
5 evidence for that issue to be presented to the jury and  
6 that ultimately the question is really one of weight,  
7 and it's a matter of argument rather than a matter of  
8 law.

9 Is there anything else?

10 MR. MYERS: No, Your Honor.

11 THE COURT: We'll stand in recess.

12 (Adjourned at 2:00 p.m.)  
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